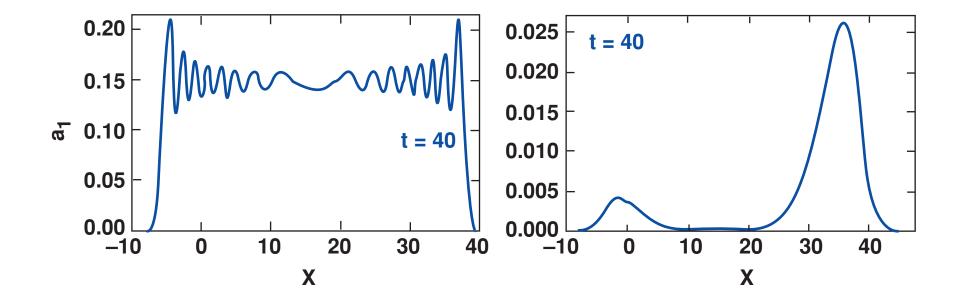
Convective Growth of the Three-Wave Parametric Instability in Nonuniform Plasmas



A. Simon and R. W. Short University of Rochester Laboratory for Laser Energetics 45th Annual Meeting of the American Physical Society Division of Plasma Physics Albuquerque, NM 27–31 October 2003



- The well-known theory of three-wave parametric instabilities in an inhomogeneous medium was re-examined. An analytic form was obtained for the Green's function response to an initial delta-function pulse, which allows a more-refined assessment of its properties and greater facility in employing it to model realistic initial conditions.
- Most significantly, we find that physically realistic initial pulses behave quite differently than would be expected on the basis of the singular deltafunction result, and more in accord with what would be expected from the theory of convective instabilities in homogeneous plasmas.



- Measurements at LLE of two-plasmon wave amplitudes, in regimes where absolute growth would not occur, led us to re-examine the theory of convective growth in nonuniform plasma.
- The classic Rosenbluth solution of the three-wave equations in a nonuniform plasma shows an odd behavior, for a convective instability.
- On the other hand, direct time integration of the equations yielded a result in good accord with the expected behavior of a convective instability.
- We resolve this discrepancy and show that it is due to the use of a nonphysical initial pulse. New analytic expressions are obtained that facilitate accurate and rapid computation and agree with the direct time-integration result.

These are the three-wave parametric instability equations in a nonuniform plasma

$$\frac{\partial \mathbf{a_1}}{\partial \mathbf{t}} + \mathbf{v_1} \frac{\partial \mathbf{a_1}}{\partial \mathbf{x}} + \mathbf{v_1} \mathbf{a_1} = \gamma_0 \mathbf{a_2} \mathbf{e^{i \kappa' x^2/2}}$$

$$\frac{\partial a_2}{\partial t} - v_2 \frac{\partial a_2}{\partial x} + v_2 a_2 = \gamma_0 a_1 e^{-i\kappa' x^2/2}$$

It is assumed that κ' is a constant.

$$\kappa' \equiv d(k_0 + k_1 + k_2)/dx$$

The two waves have group velocities, v_1 and v_2 , in the opposite directions.

Rosenbluth obtained solutions to these equations in a classic analysis

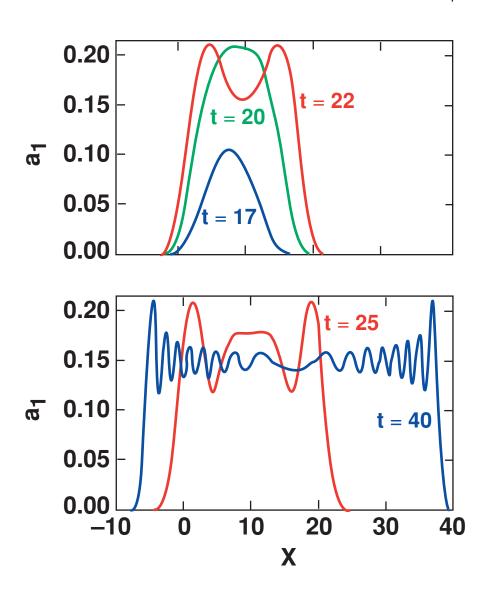
- In his famous 1972 paper, he reached the surprising result that even in the case of daughter waves with opposite group velocities, v₁v₂ < 0, the growth could not be absolute in a nonuniform plasma.
- In a 1973 follow-up paper by Rosenbluth, White, and Liu, an expression was obtained for the amplitude $a_1(x, t)$ resulting from an initial pulse with

$$a_1(x, t = 0) = 0, \ a_2(x, t = 0) = -(\overline{a}/\sqrt{\kappa'})\delta(x - x_0)$$

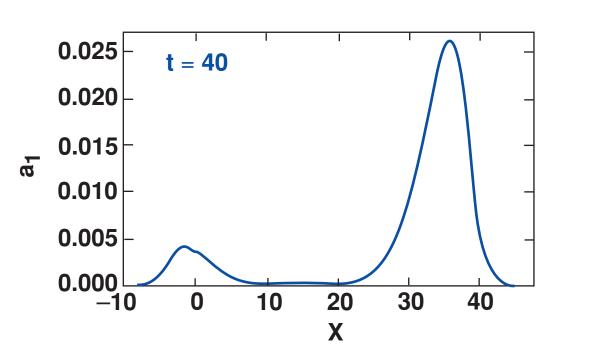
• The final expression for a_1 included an integral over a rapidly varying function and was evaluated numerically for some specific values of v_1 , v_2 , γ_0 and κ' .

Figure one of the RWL paper has been recalculated, a bit more accurately, for the collisionless case

- The ordinate is normalized to $exp(\pi\lambda)$.
- "The pulse broadens as it grows and eventually assumes the form of a totally amplified region flanked by two shock fronts."
- This is not the usual picture of a convective instability



Our direct time integration of the three-wave equations yielded a bit of a surprise



 This is for the same parameters as in the previous plot, except that the initial pulse is a narrow Gaussian since a delta pulse cannot be realized numerically (or physically). Here b = 2, where

$$a_2(x,t=0) = \frac{1}{\sqrt{\pi}b} \exp(-(x^2/b^2)), a_1(x,t=0) = 0.$$

• The behavior is much more in accord with the picture of a convective instability in a uniform medium.

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To resolve this discrepancy, the RWL result was used as a Green's function



$$a_{\text{pulse}}(\mathbf{x}, \mathbf{t}) = \frac{1}{\sqrt{\pi} \mathbf{b}} \int_{-\infty}^{\infty} a_{\text{RWL}}(\mathbf{x}, \mathbf{x}_0, \mathbf{t}) e^{-\mathbf{x}_0^2 / \mathbf{b}^2} d\mathbf{x}_0$$

- The calculation is greatly speeded and made more accurate by noting that one can obtain an analytic expression for the integral in the RWL expression for a₁, and it is also valid for all values of x.
- This avoids the need to carry out a double integral over a rapidly oscillating function.
- Excellent agreement with the direct time-integration result was obtained.

Our analytic solution for $a_1(x,t)$ for an initial delta-pulse in a nonuniform plasma

• It is proportional to a Laguerre polynomial of complex index and imaginary argument.

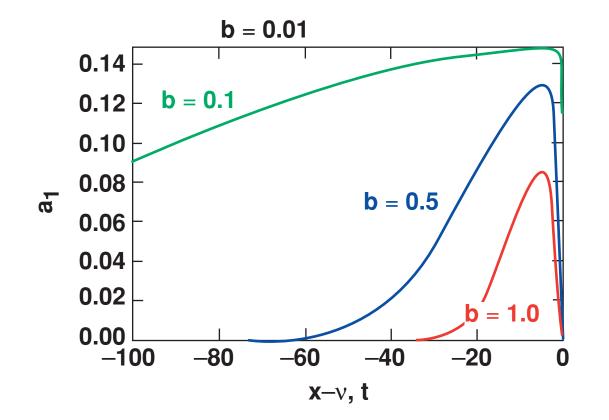
$$a_{1}(x,t) = -\frac{\sqrt{\lambda}\overline{a}\sqrt{v_{1}v_{2}}}{(v_{1}+v_{2})}e^{i\kappa'\frac{x_{0}^{2}}{4}e^{-\frac{i}{2}\frac{\kappa'(v_{1}v_{2})^{2}}{(v_{1}+v_{2})^{2}}\left(t-\frac{x-x_{0}}{v_{1}}\right)^{2}-i\frac{\kappa'v_{1}v_{2}}{v_{1}+v_{2}}\left(t-\frac{x-x_{0}}{v_{1}}\right)x}$$

$$\times L_{i\lambda-1} \left[i\kappa' \left(\frac{v_1 v_2}{v_1 + v_2} \right)^2 \left(t - \frac{x - x_0}{v_1} \right) \left(t + \frac{x - x_0}{v_2} \right) \right]$$

• Valid for $v_1 t > x - x_0 > - v_2 t$, and 0 for x outside this range.

A number of other interesting and useful analytical results were obtained

- We derive an analytical expression for the shape of the convecting pulse (for t, x→∞). This has a form of a parabolic cylinder function and agrees with our computations.
- In the limit, $b \rightarrow 0$, the pulse broadens and goes over to the RWL "plateau."



An analytical solution for $a_1(x,t)$ in a uniform plasma

• We rediscovered an (ancient) analytical expression for the amplitude of the spreading wave resulting from delta-pulse in a uniform medium (Bobroff and Haus, 1967). While the instability is absolute for $v_1v_2 < 0$ and convective for $v_1v_2>0$, it is interesting that the resultant "shock front" height is exactly the same as in the inhomogeneous case.

$$\mathbf{a_{1H}}(\mathbf{x}, \mathbf{t}) = -\frac{\gamma_0 \overline{\mathbf{a}}_H}{\mathbf{v_1} + \mathbf{v_2}} \mathbf{I_0} \left[\frac{2\gamma_0 \sqrt{\mathbf{v_1 v_2}}}{\mathbf{v_1} + \mathbf{v_2}} \sqrt{\left(\mathbf{t} - \frac{\mathbf{x} - \mathbf{x_0}}{\mathbf{v_1}}\right) \left(\mathbf{t} + \frac{\mathbf{x} - \mathbf{x_0}}{\mathbf{v_2}}\right)} \right]$$

This is valid for $v_1 t > x - x_0 > -v_2 t$, and 0 for x outside this range.

Bobroff and Haus, J. Appl. Phys. <u>38</u>, 190 (1967).

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