

# **Modeling the Filamentation Instability of Relativistic Electron Beams for Fast Ignition**

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## Summary

# Fluid approximations can differ significantly from kinetic results for relativistic beam filamentation

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- Fluid models represent an algebraic approximation to the kinetic plasma-dispersion function.
- This approximation breaks down near threshold (low frequencies) or for calculations of spatial growth or absolute instability.
- Kinetic calculations show that in general the instability has somewhat larger growth rates and extends over a wider range of transverse wave numbers than indicated by fluid approximations.
- Inclusion of mobile ions also tends to extend the range of the instability.

# Most fast-ignition scenarios require propagation of a relativistic electron beam through a plasma

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- **Large-scale beam instabilities (kinking, pinching) develop slowly on the FI timescale.**
- **Microinstabilities grow faster and include beam–plasma (electrostatic) and filamentation (electromagnetic or mixed) instabilities.**
- **These instabilities require impedance.**
  - **reactive (electron inertia, Weibel and beam–plasma instability): dominant at low densities (few  $\times$  critical).**
  - **resistive (collisional, resistive filamentation): dominant at high densities (compressed core).**
  - **a FI beam will transit both regions (reactive first).**
- **A fully relativistic treatment of the collisionless case can be carried out analytically; the collisional case is more difficult.**

# Instabilities can be treated as a perturbed equilibrium provided the growth times are shorter than the beam slowing time

- Assume that, in equilibrium, the charge densities, currents, and fields vanish and that all perturbed quantities have the space and time dependence  $e^{i(k \cdot x - \omega t)}$ .
- Maxwell's equations relate the current to the perturbed electric field.

$$j = j_b + j_p = -\frac{ic^2}{4\pi\omega} \left( k^2 I \quad \underbrace{-kk}_{\substack{\text{Longitudinal} \\ \text{(electrostatic)} \\ \text{term}}} \quad \underbrace{-\frac{\omega^2}{c^2} I}_{\substack{\text{From} \\ \text{displacement} \\ \text{current}}} \right) \cdot E$$

- The rest of the problem consists of using the plasma properties to derive the perturbed current as a response to  $E$  (the conductivity tensor).

# The collisionless relativistic electron beam can be represented as a Maxwell–Boltzmann–Jüttner (MBJ) distribution

- The MBJ distribution is a relativistic generalization of the Maxwellian

$$f_0(w) = \frac{\xi n_0}{4\pi\Gamma K_2(\xi)} e^{-\xi\Gamma(\gamma - \beta \cdot w)}, \text{ where } \xi \equiv \frac{mc^2}{k_B T_R} = \frac{c^2}{v_T^2}, \quad w \equiv \frac{p}{mc} = \gamma \frac{v}{c},$$

$\beta$  is the average beam  $\beta$ , and  $\Gamma \equiv (1 - \beta^2)^{-1/2}$ .

- When the thermal spread is small compared to the beam velocity, this can be approximated as a drifting Maxwellian

$$f_0(p) = \frac{1}{(2\pi)^{3/2}} \frac{1}{(mv_T)^3 \Gamma} n_b e^{-\frac{\left(\frac{p_z}{\Gamma} - mc\beta\right)^2 + p_\perp^2}{2(mv_T)^2}}.$$

- These forms are also used to represent the return current in the collisionless case.

# In the collisionless case, the perturbed currents are calculated from the relativistic Vlasov equation

- The linearized relativistic Vlasov equation can be written as

$$\frac{\partial f_{1\alpha}}{\partial t} + \frac{\mathbf{c}\mathbf{w}}{\gamma} \cdot \frac{\partial f_{1\alpha}}{\partial \mathbf{x}} = -\frac{q_\alpha}{m_\alpha c} \left( \mathbf{E} + \frac{\mathbf{w}}{\gamma} \times \mathbf{B} \right) \cdot \frac{\partial f_{0\alpha}}{\partial \mathbf{w}}.$$

- Solving for the perturbed current gives

$$\mathbf{j}_b = \frac{-ie^2}{m\omega} \left[ \int \frac{\mathbf{p}}{\gamma} \frac{\partial f_0}{\partial \mathbf{p}} d^3 p + \int \frac{\left( \mathbf{k} \cdot \frac{\partial f_0}{\partial \mathbf{p}} \right) \mathbf{p} \mathbf{p}}{\gamma(\gamma m \omega - \mathbf{k} \cdot \mathbf{p})} d^3 p \right] \cdot \mathbf{E}.$$

- In the drifting Maxwellian approximation, the integrals can be expressed in terms of the usual plasma Z function.
- The exact relativistic integrals can be expressed in terms of integrals

of the form  $\int_{-1}^1 ds \frac{\gamma}{\omega - cks} \frac{K_{n/2}(z)}{z^{n/2}}$ , where  $\gamma = (1 - s^2)^{-1/2}$  and

$$z \equiv \xi \Gamma \sqrt{(1 - \beta_3 s)^2 \gamma^2 - (\beta_1^2 + \beta_2^2)}.$$

# The resulting dispersion relations are complicated algebraically but readily evaluated numerically

- The dispersion relation is obtained from

$$\left[ \left( c^2 k^2 - \omega^2 + \frac{\omega_p^2}{\Gamma} - \frac{4\pi i \omega}{\eta} \right) \tilde{I} - c^2 k k - \frac{\omega_p^2}{\Gamma} \tilde{R} \right] \cdot E = 0.$$

- A typical  $R$  component in the drifting Maxwellian approximation with the beam propagating in the  $z$  direction:  $R_{zz} \equiv \left( k_y^2 v_{T\perp}^2 + \Gamma^2 k_z^2 v_{Tz}^2 \right)^{-2}$

$$\times \left[ \begin{aligned} & \left[ 1 + \zeta Z(\zeta) \right] \left\{ \Gamma^2 (k_y^2 + k_z^2) \left[ \left( \sqrt{2} \Gamma k_z v_{Tz}^2 \zeta + \beta c \sqrt{k_y^2 v_{T\perp}^2 + \Gamma^2 k_z^2 v_{Tz}^2} \right)^2 + k_y^2 v_{Tz}^2 v_{T\perp}^2 \right] \right. \\ & \quad \left. + 2k_y^2 k_z^2 v_{Tz}^2 (v_{T\perp}^2 - \Gamma^2 v_{Tz}^2) \right\} \\ & \left. + k_z \Gamma \left[ \sqrt{2} \beta c k_y^2 \sqrt{k_y^2 v_{T\perp}^2 + \Gamma^2 k_z^2 v_{Tz}^2} (v_{T\perp}^2 - \Gamma^2 v_{Tz}^2) Z(\zeta) + \Gamma^3 (k_y^2 + k_z^2) k_z v_{Tz}^4 \right] \right] \end{aligned} \right]$$

where  $\zeta \equiv \frac{\Gamma(\omega - \beta c k_z)}{\sqrt{2} \sqrt{(k_y v_{T\perp})^2 + (\Gamma k_z v_{Tz})^2}}$

# Generalizing the dispersion relation to complex $k$ allows the study of spatial growth and absolute instability



- Roots of the dispersion relation with real  $k$  and complex  $\omega$  indicate instability (pure temporal growth), usually convective.
- Start with a temporally growing mode and decrease  $\text{Im}(\omega)$ ; if one of the complex  $k_z$  roots crosses the real axis and acquires  $\text{Im}(k_z) < 0$  for  $\text{Im}(\omega) = 0$ , it represents spatial growth.
- Perturbations introduced where the beam originates grow as it propagates into the plasma; spatial growth is most appropriate to the FI problem.
- If two  $k_z$  roots merge across the real axis to a double root with  $\text{Im}(k_z) < 0$  as  $\text{Im}(\omega)$  decreases to 0, absolute instability is indicated. Absolute modes grow at a fixed point independently of the original perturbation amplitudes and so eventually dominate.



# Fluid models represent an algebraic approximation to the kinetic plasma-dispersion function $Z(\zeta)$

- For small arguments  $Z(\zeta) \cong i\sqrt{\pi} e^{-\zeta^2} - 2\zeta \left( 1 - 2\frac{\zeta^2}{3} + 4\frac{\zeta^4}{15} - 8\frac{\zeta^6}{105} + \dots \right)$ .

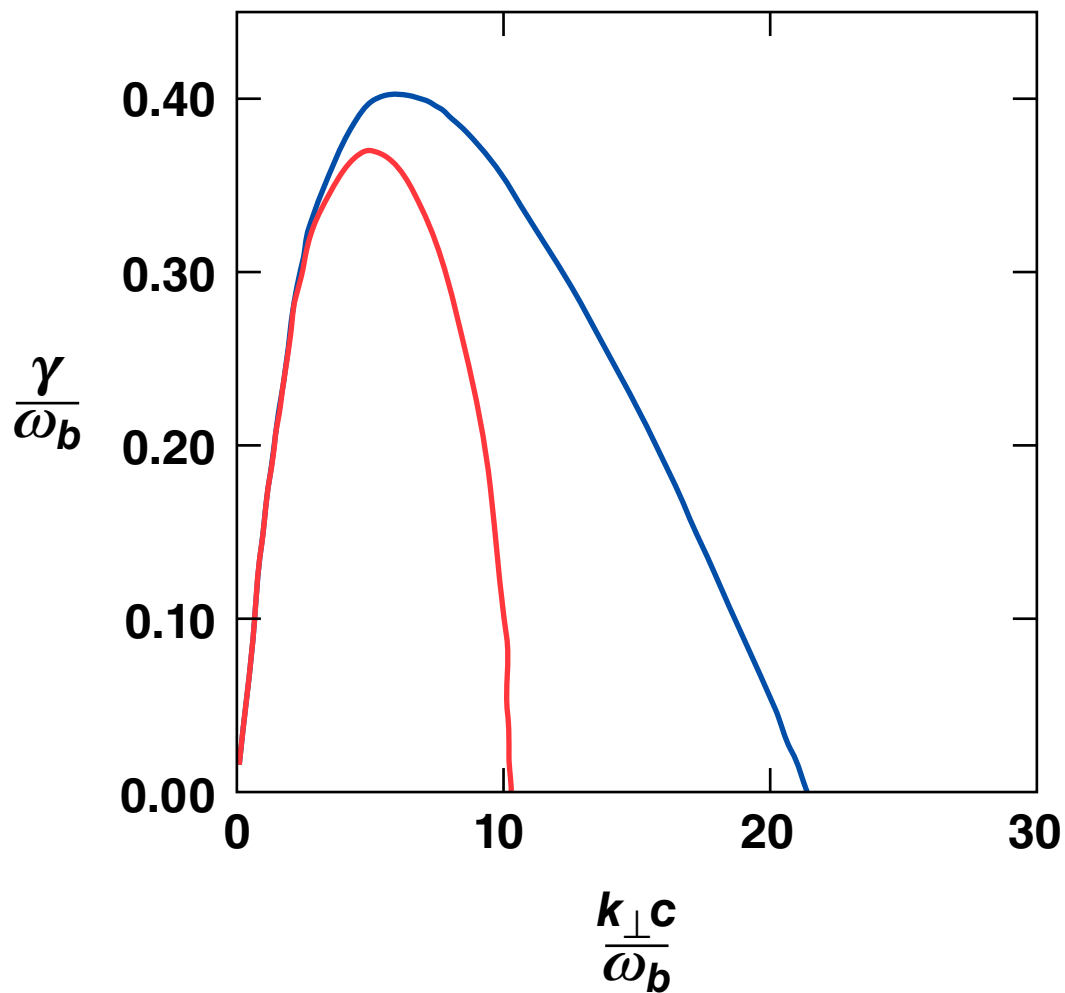
- For large arguments  $Z(\zeta) \sim i\sqrt{\pi} \sigma e^{-\zeta^2} - \frac{1}{\zeta} \left( 1 + \frac{1}{2\zeta^2} + \frac{3}{4\zeta^4} + \frac{15\zeta^6}{8\zeta^6} + \dots \right)$

where  $\sigma = \begin{cases} 0, & \text{Im}(\zeta) > 1/|\text{Re}(\zeta)| \\ 1, & \text{Im}(\zeta) < 1/|\text{Re}(\zeta)| \\ 2, & -\text{Im}(\zeta) > 1/|\text{Re}(\zeta)| \end{cases}$

- $\zeta \equiv \frac{\Gamma(\omega - \beta c k_z)}{\sqrt{2} \sqrt{(k_y v_{T\perp})^2 + (\Gamma k_z v_{Tz})^2}}$ ,

so the asymptotic approximation fails in several instances of interest for the filamentation instability.

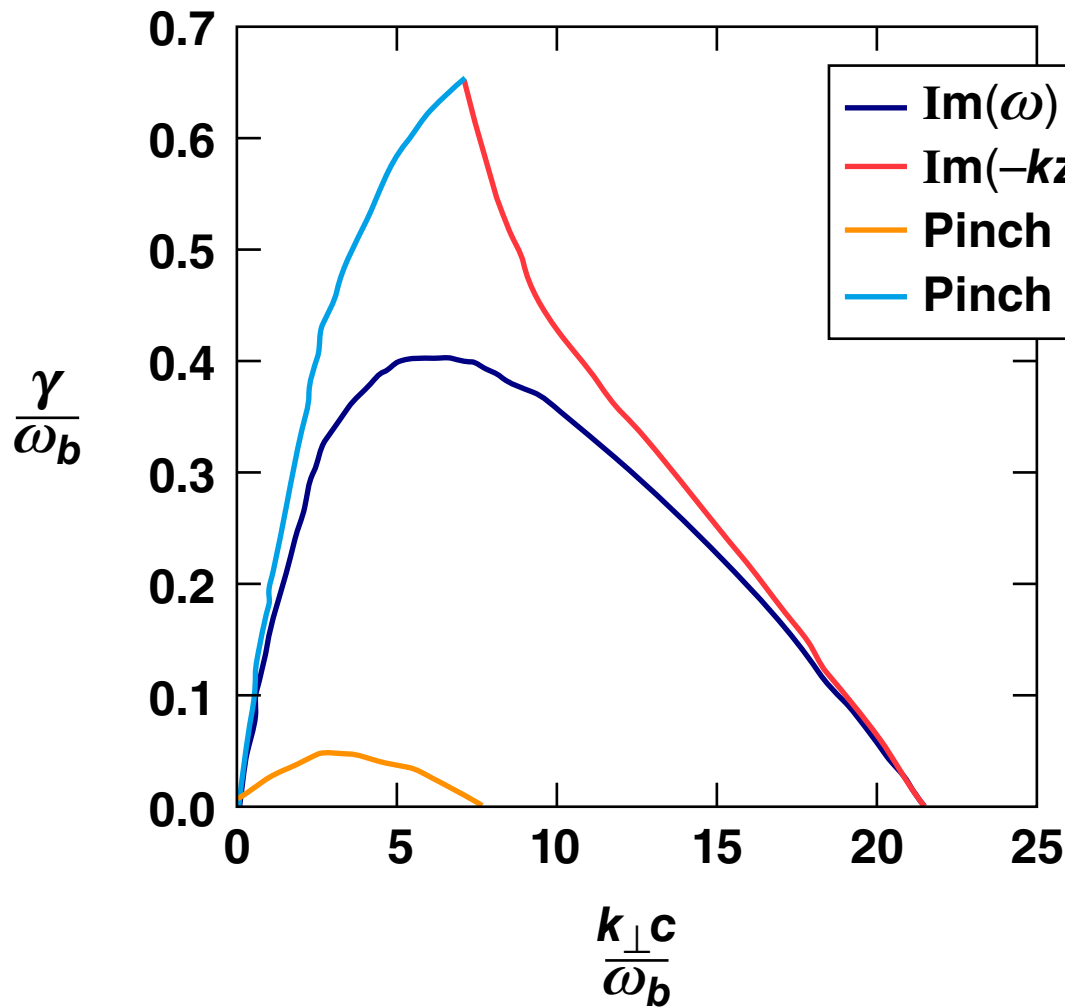
# Fluid model underpredicts instability at large transverse wave numbers



— Kinetic  
— Fluid

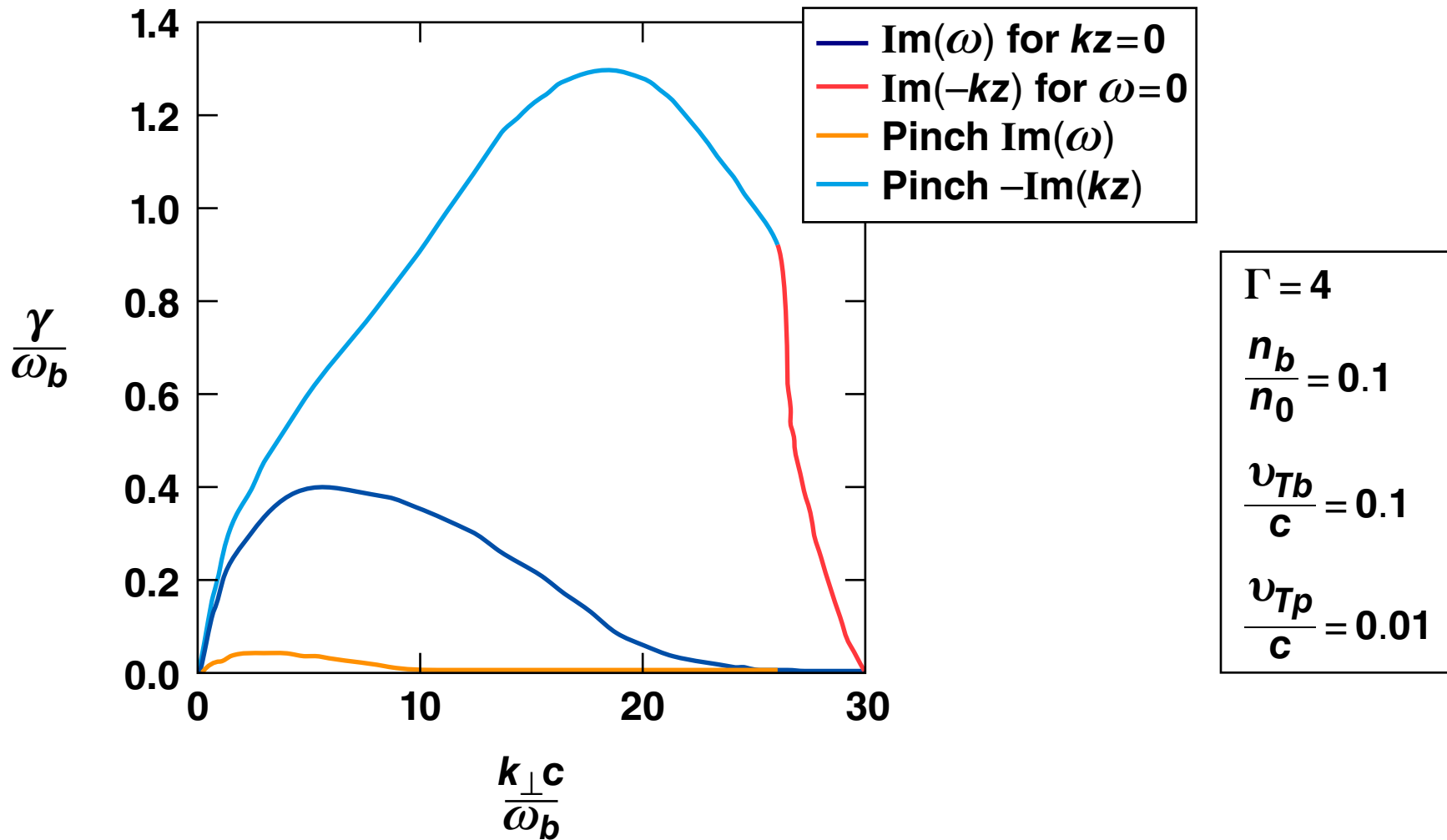
$\Gamma = 4$   
 $\frac{n_b}{n_0} = 0.1$   
 $\frac{v_{Tb}}{c} = 0.1$   
 $\frac{v_{Tp}}{c} = 0.01$

# Absolute mode appears only in kinetic model

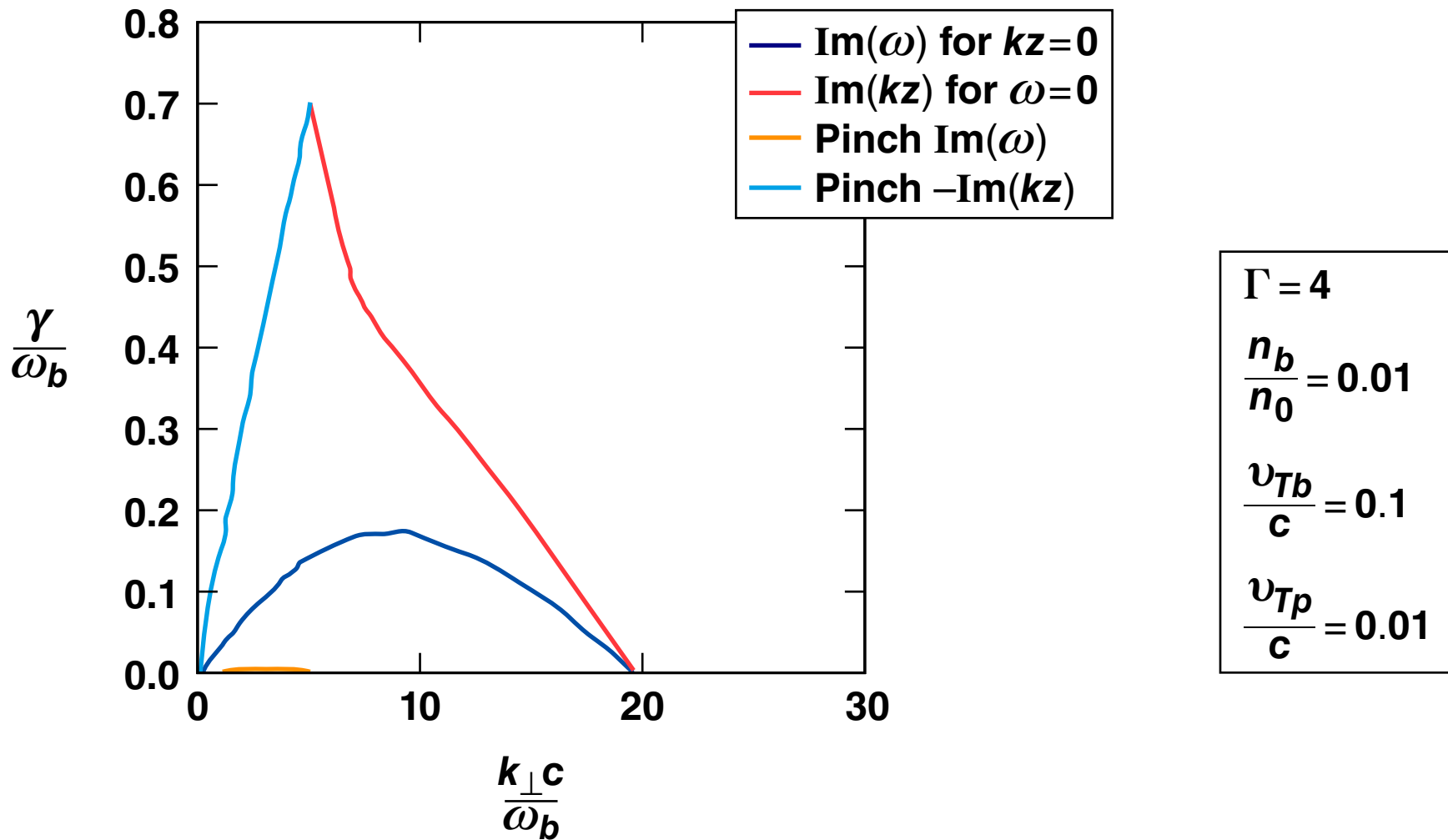


$\Gamma = 4$   
 $\frac{n_b}{n_0} = 0.1$   
 $\frac{v_{Tb}}{c} = 0.1$   
 $\frac{v_{Tp}}{c} = 0.01$

# Mobile ions increase the range of instability



# At smaller beam/plasma-density ratios only the kinetic model gives instability



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