LLE INSTRUCTION 6200G

SUBJECT: LASER OPERATOR QUALIFICATION CARD

REFERENCES:

a. LLE Instruction 3000 (LFORM)
c. University of Rochester Laser Safety for Research Laboratories
d. New York State Department of Labor Part 50, Lasers
e. University of Rochester Statement of Safety Policy
f. LLE Instruction 6550

ENCLOSURE: (1) Laser Operator Qualification Card

1. Purpose: To ensure the safe use of lasers and to provide for a safe laser environment for all personnel. This program formalizes procedures for qualifying individuals as laser operators and establishes an internal program to supplement the already-existing and well-established laser safety protocols used throughout LLE.

2. Definitions:

a. Qualified Laser Operator: A user of lasers who has completed Enclosure (1), the qualification card, and is qualified by a Certified Laser Operator, or who has completed OMEGA qualification in accordance with Reference (a).


c. Incidental Personnel: Those personnel whose work makes it possible but unlikely that they will be exposed to laser energy sufficient to damage their eyes or skin; e.g., custodial, clerical, and supervisory personnel not working directly with laser devices.

d. Laser Personnel: Those who work routinely in laser environments. These individuals are ordinarily fully protected by engineering controls, administrative procedures, and/or personal protective equipment.

e. Medical Surveillance: The program that consists of entrance/preassignment and exit eye examinations of workers potentially exposed to laser radiation (laser personnel). Incidental personnel are not included in this surveillance. This program also includes eye examinations when exposure to laser radiation is suspected. This examination will be provided without charge to the employee and will be conducted during work hours. Entrance eye examinations are used as a baseline against which damage (primarily ocular) can be measured in the event of an accidental injury as required by Reference (e). Those personnel who require an entrance eye examination are identified during their administrative check-in. This requirement and the eye appointment date are
confirmed in writing by the supervisor on the Administrative Check-In sheet and the Job Hazard Assessment (JHA) form.

f. **Active Laser**: A laser currently in use in the laser inventory, and, if Class 3B or 4, requires operator qualification.

g. **Inactive Laser**: A laser identified by the Principal Investigator as not currently in use or not functional. This laser is not connected to a power source, and, if Class 3B or 4, does not require qualification, but shall be maintained in the laser inventory. If reactivated, the procedure for a new laser should be followed.

3. **Background**: Historically, only capable individuals, hired as employees or accepted as students, have been allowed access to University research facilities. Only after rigorous performance evaluation and safety instruction have they been allowed access to high-power lasers (Class 3B and 4).

   The LLE program defined in other procedures:

   a. requires and documents laser-safety training (introductory and specific orientations followed by annual refreshers),
   
   b. ensures eye examinations (entrance and exit),
   
   c. provides appropriate eye wear,
   
   d. designates a Laser Safety Officer,
   
   e. furnishes laser-safety signs and labels,
   
   f. maintains an inventory of lasers reinforced with barcoding,
   
   g. conducts safety inspections, and
   
   h. installs access controls and safety interlocks.

   This Instruction formalizes qualification procedures as required by References (b)–(d).

4. **Discussion**: Laser-safety standards at LLE are dictated by References (b)–(d). Reference (c) establishes the purpose of the program to ensure the safe use of lasers in University research laboratories and, in turn, recognizes References (b) and (d).

   Potential hazardous risks to the eyes and skin from lasers include chemical, electrical, mechanical, and fire exposure. Classical approaches to laser safety include engineering controls, administrative procedures, and personal protective equipment. All three control measures are used to reduce ocular and skin exposure from hazardous laser levels as well as other hazards associated with laser devices.

   a. Engineering controls include protective interlocks, beam enclosures, shutters/attenuators, key switches, viewing optics/windows, service panels, warning systems, controlled areas, and remote firing and monitoring.

   b. Administrative procedures include designation of a Laser Safety Officer, written work practices [Standard Operating Procedures (SOP’s)], education and training,
maintenance requirements, alignment procedures, medical surveillance, personal protective devices, and warning signs and labels.

c. Personal protective equipment includes eyewear (labeled with optical density and wavelength) and clothing (including gloves and face masks for protection from ultraviolet radiation).

Having knowledgeable individuals specifically qualified in laser safety and laser operations of specific systems also ensures the operational readiness of lasers.

5. **Procedures:** All personnel identified within LLE as operators of laser(s) must be qualified according to Enclosure (1) or to the requirements of Reference (a). Those individuals are to be qualified within three months after notification. Prior to completing qualification, all nonqualified personnel must be accompanied and supervised by a qualified laser operator. Requirements for completing Enclosure (1) qualifications are as follows:

a. Laser operators may sign off knowledge and practical factor requirements for lasers/systems upon which they are qualified. The laser qualifications in the Omega Laser Facility (encompassing both OMEGA and OMEGA EP) are handled by the Laser Facility Manager/operational group leader in the watchstation qualification process. Outside of Omega, final qualification/certification must be completed by LLE group leaders, including Optics and Imaging Sciences, Laser Development, or others who are designated in writing by the Laser Safety Officer.

b. Knowledge requirements will be certified by satisfactorily completing an oral examination.

c. Practical factors must be actually accomplished under the observation of a qualified laser operator.

d. One qualification card will be used for each laser type/system.

e. Completed qualification cards are to be forwarded to the Omega Operations Administrative Assistant, who will file the cards, maintain the database, and provide copies to the Laser Safety Officer.

f. The Laser Safety Officer will monitor the quality and adequacy of the program.

g. A copy of the completed qualification form, along with the appropriate laser survey sheet, will be maintained in the appropriate laboratory’s Laser Safety Binder and be available for periodic safety inspections.

6. **Responsibilities and Organization:**

   a. **LLE Safety Officer:**

      1. Provide overall direction of the laser safety program.

      2. Appoint the Laser Safety Officer [Reference (f)].

      3. Report problems of noncompliance to the University’s Environmental Health & Safety Department.
b. **LLE Laser Safety Officer:**
   
   (1) Provide safety training and procedural guidance.
   
   (2) Shut down operations deemed to be unsafe.
   
   (3) Interface with the University's Laser Safety Officer.
   
   (4) Update laser inventory at monthly laboratory-wide safety inspections and whenever notified.
   
   (5) Maintain the laser-safety library with publications and regulations.
   
   (6) Follow progress of laser operator qualification.
   
   (7) Attend periodic professional laser-safety seminars.
   
   (8) Prepare and maintain a list of Certified Laser Operators.
   
   (9) Issue safety violations for the operation of lasers by nonqualified personnel.
   
   (10) Shut down lasers/systems after the issuance of three safety violations.

c. **Administrative Division Administrative Assistant:**
   
   (1) Identify individuals at administrative check-in (through their supervisors) who require eye examinations and will be working as Laser Operators, and maintain a database of their medical surveillance.

d. **Engineering Division Administrative Assistant:**
   
   (1) Maintain a database of all laser users, certification status, laser-safety orientation attendance, and maintain a file of completed qualification cards.

e. **Principal Investigators/Supervisors:**
   
   (1) Provide immediate supervision of personnel using lasers in the Laboratory.
   
   (2) Provide, implement, and enforce the safety requirements in this program.
   
   (3) Identify all personnel to be qualified as laser operators and who require eye examinations to the Administrative Division Administrative Assistant.
   
   (4) Conduct personnel training required to complete qualification as laser operator.
   
   (5) Ensure that nonqualified personnel are instructed not to use any lasers unless they are under the direct control and supervision of a qualified laser operator.
   
   (6) Provide appropriate information regarding any and all lasers under their supervision, including “fabricated” (in-house) and inactive lasers, to the Laser Safety Officer on the Laser Safety Survey form.
   
   (7) Perform safety inspections, specifically the Laser Safety Survey for each installation.
   
   (8) Make Standard Laser Operating Procedures available for each laser at each laser installation. These should be factory manuals for commercial lasers or individually prepared and approved procedures for “fabricated” (in-house) lasers.
   
   (9) Ensure that appropriate eye protection is provided.
   
   (10) Ensure that all warning signs and interlocks are provided and are accurate.
(11) Ensure that all beam paths are enclosed or access is limited so as to preclude exposure to incidental personnel.

(12) Enforce compliance with all laser-safety procedures and requirements, including attendance at the annual laser-safety refresher.

(13) Maintain copies of all qualification cards and Laser Safety Surveys within each laboratory's Laser Safety Binder.

f. Individuals Assigned to Qualify Laser Operators:

(1) Ensure that all individuals they qualify meet and continue to meet performance requirements.

(2) Provide the Laser Safety Officer with any changes to the laser inventory (such as laser location changes and their status, active or inactive).

(3) Enforce compliance with all laser-safety procedures and requirements.

(4) Understand that unless they are Certified Laser Operators, that they are not qualified to sign on the Certified Laser Operator line.

(5) Certified Laser Operators in qualifying for their own laser systems shall contact other Certified Laser Operators.

g. Qualified Laser Operators:

(1) Ensure that lasers are operated in accordance with approved SOP's.

(2) Confirm that all individuals continue to meet performance requirements.

(3) Provide the Laser Safety Officer with any changes to the laser inventory (such as laser location changes and their status, active or inactive).

(4) Verify that the technical information provided on the laser-safety sign is accurate.

(5) Comply with all laser-safety procedures and requirements.

(6) Attend annual laser-safety refresher training.

8. Approval:

[Signature]
Robert L. McCrory
Director
Laser Operator Qualification Card

Name: __________________________________________

Prerequisites: Attend LLE Laser Safety training and complete eye examination

Qualification:

Manufacturer/Model\(^1\): ________________________________________  Room(s) __________

Knowledge Requirements: Demonstrate knowledge of the following by satisfactorily completing an oral examination by a qualified individual:

<table>
<thead>
<tr>
<th>REQUIREMENT</th>
<th>QUALIFIED SIGNATURE/DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Describe laser(s), including lasing medium, wavelength, energy, power, and principle of operation</td>
<td>___________________________ / _______</td>
</tr>
<tr>
<td>2. Describe laser-safety procedures, including interlocks and eye protection requirements, and location of written procedures</td>
<td>___________________________ / _______</td>
</tr>
<tr>
<td>3. Describe startup operations, emergency, and shutdown procedures</td>
<td>___________________________ / _______</td>
</tr>
</tbody>
</table>

Practical Factors: Satisfactorily complete the following practical factors under the supervision of a qualified operator:

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<thead>
<tr>
<th>REQUIREMENT</th>
<th>QUALIFIED SIGNATURE/DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Startup laser(s) according to written procedures</td>
<td>___________________________ / _______</td>
</tr>
<tr>
<td>2. Operate laser(s) according to written procedures</td>
<td>___________________________ / _______</td>
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<tr>
<td>3. Identify all beam paths and respective hazards</td>
<td>___________________________ / _______</td>
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<tr>
<td>4. Shutdown laser(s) according to written procedures</td>
<td>___________________________ / _______</td>
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EXAMINATION AND CERTIFICATION

______________________/_______
Certified Laser Operator

\(^1\)A separate qualification card must be completed for each laser type/system.