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1.B Thermal Stimulated Brillouin Scattering

An electromagnetic wave propagating through a plasma can be scattered by an ion-acoustic wave, transferring energy to the sound wave and the scattered light wave in such a way that these waves grow exponentially in time. This instability, known as stimulated Brillouin scattering (SBS), is a potentially serious energy-loss mechanism for laser-driven fusion and so has been studied extensively both experimentally¹⁻³ and theoretically.⁴⁻⁶ Previous theoretical analyses of SBS in laser-produced plasmas have generally proceeded on the assumption that the plasma is isothermal; this assumption is justified because the wavelength of the sound wave is very small (about half the laser wavelength), so that using classical (Spitzer-Härm⁷) thermal-transport theory the time for thermal equilibration across this distance is found to be much smaller than the sound-wave period. In this model the driving term for the instability is the ponderomotive force: plasma tends to be driven out of regions of high electromagnetic field intensity arising from the interference between the incident and scattered light waves, so that the interference pattern intensifies the sound wave.

Recently, however, numerical studies of thermal transport in laser-fusion plasmas using the Fokker-Planck (F-P) equation have shown that classical transport theory is inadequate to treat phenomena occurring over short distances, even if the local temperature scale length ($T/|\nabla T|$) is much longer than the

electron mean free path.^{8,9} In particular, it is found that thermal conduction can be greatly reduced for temperature variations with wavelengths shorter than the mean free path of the electrons that classically carry the bulk of the heat flow. These electrons, which have velocities near $3.7 v_t$ (where v_t is the electron thermal velocity), rapidly become uniformly distributed and decoupled from the spatial variations in energy density that persist in the slower electrons, which contain most of the thermal energy. Thus these spatial variations persist longer than predicted by classical theory. This effect is especially significant if the energy variations arise from a source that preferentially heats the slower electrons.⁹ Inverse bremsstrahlung, the principal heating mechanism in laser-produced plasmas, is such a source, since it arises from thermalization of the electron oscillatory velocity by collisions with ions, which are more frequent for slow electrons.

Since SBS involves ion waves with very short wavelengths, these advances in the understanding of thermal transport make it necessary to question the isothermality assumption in SBS theory. Inverse-bremsstrahlung heating raises the temperature and pressure of the plasma in regions of high electromagnetic field intensity and thus tends to expel plasma from such regions just as the ponderomotive force does. With classical thermal conductivity the resulting temperature variations would be negligible, but in the light of the 'nonlocal' transport theory previously described we shall find that they are significant, and in high-Z plasmas they can in fact become the dominant driving force for the SBS instability. We note in passing that a similar mechanism has been proposed in the analysis of SBS in ionospheric heating experiments;¹⁰ in that case it is the Earth's magnetic field rather than nonlocal transport effects that provides the necessary reduction in thermal transport.

To analyze the instability we consider a homogeneous equilibrium plasma with electron density n_0 and temperature T_0 . The electric field of the laser light is represented by $E = E_0 \exp[i(k_0 x - \omega_0 t)] + c. c.$, where $\omega_0^2 = \omega_p^2 + k_0^2 c^2$ and ω_p is the electron plasma frequency. For simplicity we consider only backscatter, so that the scattered light field can be represented by $E_1(t) \exp[i(k_1 x - \omega_1 t)] + c. c.$, the temperature perturbation by $T_1(t) \exp[i(kx - \omega t)] + c. c.$, and the density perturbation by $n_1(t) \exp[i(kx - \omega t)] + c. c.$, where $\omega_1^2 = \omega_p^2 + k_1^2 c^2$, $k_1 = k_0 - k$, $\omega = \omega_0 - \omega_1$, c_s is the ion sound speed, and k is the wave number of the ion sound wave. We assume perfect wave-number matching and look for temporal growth, represented by the slow time dependence of E_1 , T_1 , and n_1 . Using Maxwell's equations and the usual fluid equations for the plasma the derivation of the equations for the perturbed fields and densities is straightforward:

$$2i\omega_1 \frac{\partial}{\partial t} E_1^*(t) = -\frac{\omega_1}{\omega_0} \omega_p^2 E_0^* \frac{n_1(t)}{n_0}, \quad (1)$$

$$\left[\omega^2 - k^2 c_s^2 + 2i\omega \frac{\partial}{\partial t} - \frac{\partial^2}{\partial t^2} \right] \frac{n_1(t)}{n_0} = k^2 c_s^2 \frac{T_1(t)}{T_0} + \frac{Ze^2}{m_e m_i \omega_0 \omega_1} k^2 E_0 E_1^*(t) \quad (2)$$

Here, c is the speed of light, Z is the average ion charge, and m_e and m_i are the electron and ion masses.

There are two potential sources of energy that can drive the temperature variation T_1 : the PdV work of the oscillating sound wave and the inverse-bremsstrahlung heating resulting from the light waves. From F-P simulations we have found that the former, which adds energy to all electron velocity groups equally, is smoothed so rapidly by thermal conduction that it makes a negligible contribution to the temperature variation. In contrast, inverse bremsstrahlung heats mainly the slow electrons, and the resulting variations in energy density are smoothed much less rapidly than classical models would predict. Balancing inverse-bremsstrahlung heating with thermal diffusion and taking into account the temperature and density dependence of the inverse-bremsstrahlung absorption coefficient we find the following expression for the temperature variation:

$$\left\{ \frac{3}{2} \left[\frac{\partial}{\partial t} - i\omega \right] + \frac{\kappa_o^{\text{Th}} k^2}{n_o} + \frac{3}{2} \frac{\kappa_o^{\text{IB}} I_o}{n_o T_o} \right\} \frac{T_1(t)}{T_o} = \frac{2}{n_o T_o} \frac{\kappa_o^{\text{IB}} I_o}{n_o} \frac{n_1(t)}{n_o} + \frac{c \sqrt{\epsilon_o} \kappa_o^{\text{IB}}}{2 \pi n_o T_o} E_o E_1^*(t). \quad (3)$$

Here, ϵ_o is the homogeneous plasma dielectric constant, κ_o^{IB} is the inverse-bremsstrahlung absorption coefficient, I_o is the incident laser intensity, and κ_o^{Th} is the modified thermal conductivity to be discussed. In Eqs. (1)–(3) we have for simplicity neglected the various wave-damping mechanisms. It would be possible to include damping in the usual phenomenological way¹¹ by the replacement $\omega \rightarrow \omega + i\nu$ in Eqs. (1) and (2), where ν would be the inverse-bremsstrahlung damping rate for the light wave in Eq. (1) and a combination of collisional and Landau damping for the sound wave in Eq. (2). A more sophisticated approach would be to write an additional energy equation for the PdV work with the conductivity and specific heat modified to model both collisional and kinetic damping.¹² We will not pursue this subject further here since we are primarily interested in determining under what circumstances the thermal driving term significantly enhances the SBS growth rate, and this question is to first order independent of damping. A simple way to estimate the instability threshold as a result of damping will be discussed.

The terms in Eqs. (1)–(3) involving κ_o^{IB} , κ_o^{Th} and the electric fields may be conveniently written in terms of dimensionless parameters γ_{T1} , γ_{T2} , and γ_p (closely related to those introduced by Schmitt¹³):

$$\frac{\kappa_o^{\text{IB}} I_o}{\omega n_o T_o} = \frac{3}{2} \frac{\gamma_{T2}}{\gamma_{T1}},$$

$$\frac{\kappa_o^{\text{Th}} k^2}{\omega n_o} = \frac{3}{2} \frac{1}{\gamma_{T1}} \frac{\kappa_o^{\text{Th}}}{\kappa_o^{\text{SH}}},$$

where γ_{T1} is the ratio of the thermal-conduction transit time to the ion-acoustic transit time across k^{-1} ($\approx c / 2\omega_0 \sqrt{\epsilon_0}$),

$$\gamma_{T1} = 6.75 \times 10^{-6} \frac{\ln \Lambda}{T_0^2 (\text{keV}) \sqrt{\epsilon_0} \lambda_0 (\mu\text{m})} \frac{Z^*}{\phi(Z^*)} \left(\frac{Z}{A} \right)^{1/2} \left(\frac{n_0}{n_c} \right), \quad (4)$$

γ_{T2} is the ratio of the inverse-bremsstrahlung heating rate to the thermal-conduction cooling rate across k^{-1} ,

$$\gamma_{T2} = 2.24 \times 10^{-9} \frac{I_0 (10^{14} \text{ W/cm}^2)}{T_0^5 (\text{keV}) \epsilon_0^{3/2}} \frac{Z^{*2} (\ln \Lambda)^2}{\phi(Z^*)} \left(\frac{n_0}{n_c} \right)^2, \quad (5)$$

[†] and γ_p is the ratio of the ponderomotive pressure to the thermal pressure:

$$\gamma_p = \frac{e^2 E_0 E_0^*}{m_e \omega_0^2 T_0} = 9.33 \times 10^{-3} \frac{\lambda_0^2 (\mu\text{m}) I_0 (10^{14} \text{ W/cm}^2)}{\sqrt{\epsilon_0} T_0 (\text{keV})}. \quad (6)$$

In these expressions A is the ion atomic number, $Z^* \equiv \langle Z^2 \rangle / \langle Z \rangle$ (where $\langle \rangle$ denotes an average over the ion species), $\phi(Z^*) \equiv (Z^* + 0.24) / (1 + 0.24 Z^*)$, and $\ln \Lambda$ is the Coulomb logarithm.

The factor $\kappa_0^{\text{Th}} / \kappa_0^{\text{SH}}$ represents the ratio of the thermal conductivity for inverse-bremsstrahlung heat to the classical Spitzer-Härm conductivity. It has been shown previously by means of F-P simulations⁹ that the effects of nonlocal transport on thermal conductivity for the case of an inverse-bremsstrahlung heating perturbation of wave number k are very well approximated by

$$\left(\frac{\kappa_0^{\text{Th}}}{\kappa_0^{\text{SH}}} \right) = \frac{1}{1 + (\alpha k \lambda_e)^\beta}, \quad (7)$$

where $\lambda_e \equiv T_0^2 / 4\pi n_0 e^4 \left[4.2 Z^* / \phi(Z^*) \right]^{1/2} \ln \Lambda$. The parameters α and β are chosen to fit the numerical results as described in Ref. 9; for the conditions relevant to SBS, i.e., $10 \leq k \lambda_e \leq 1000$, simulations show that the best fit is given by $\alpha \approx 21$ and $\beta \approx 1.44$. (The effects of ion motion and time-varying heating were included in these simulations but had no significant effect on the values of $\kappa_0^{\text{Th}} / \kappa_0^{\text{SH}}$.) Assuming a time dependence for the perturbed quantities of the form $\exp(-i\Omega t)$ and using the thermal-conductivity correction factor (7), Eqs. (1)–(3) become simultaneous algebraic equations that may be combined to yield the dispersion relation for the instability as a quartic polynomial in Ω . The roots are readily found numerically and the imaginary part corresponds to the instability growth rate (generally only one root has a positive imaginary part). The growth rates are maximized for values of k near the resonance of the scattered electromagnetic wave

$$k = 2k_0 - \frac{2\omega_0}{c} \frac{c_s}{c}$$

and this value of k is used in obtaining the following results.

For low- Z plasmas it is found that nonlocal thermal conductivity has a negligible effect, and the ponderomotive force remains the dominant driver for SBS. However, for high- Z plasmas, which provide the x rays in radiatively driven laser-fusion targets,¹⁴ the inverse-bremsstrahlung heating becomes significant. Figure 51.7 shows the growth rate $\text{Im}(\Omega)$ as a function of laser intensity for an Au ($Z=70$) plasma with $n_0/n_c=0.5$ and $T_0=1$ keV. The classical (isothermal) ponderomotive result is shown by the dashed curve. The solid curve results from Eqs. (1)–(3) and Eq. (7) and shows an enhancement of more than a factor of 2 throughout the intensity range. The enhancement of growth arises from thermally driven SBS, which dominates when $(\kappa_0^{\text{Th}}/\kappa_0^{\text{SH}})^{-1}\gamma_{T2}/\gamma_p > 1$. As a check on the fluid model results, F-P simulations were run at selected values of the intensity, shown by the circles in Fig. 51.7. The agreement is quite good at all intensities, with the fluid model slightly underestimating the growth-rate enhancement.

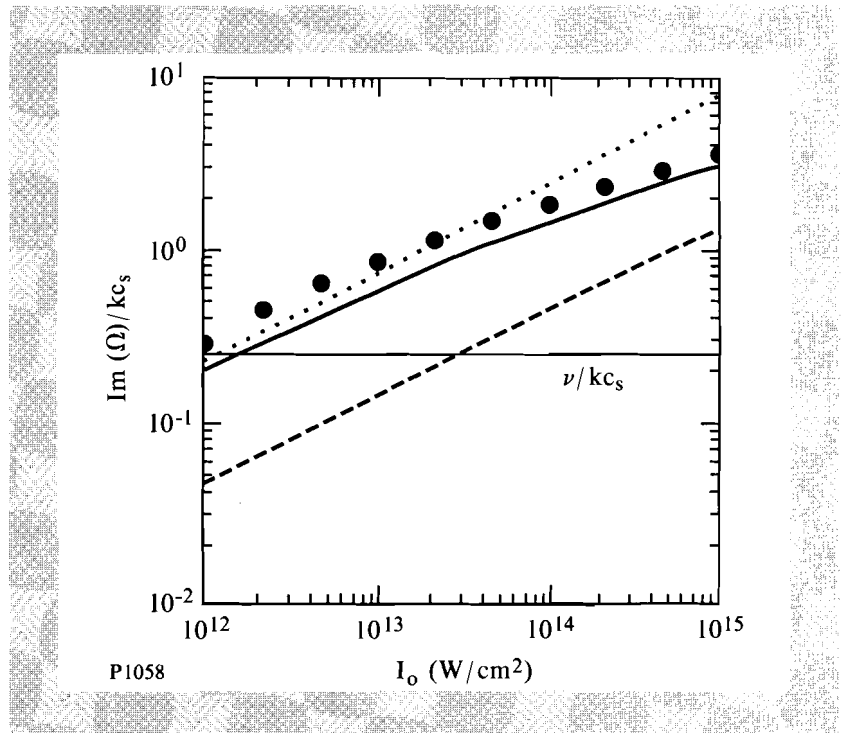


Fig. 51.7.

Growth rates of SBS normalized to kc_s as a function of the incident laser intensity for a gold ($Z=70$) plasma with $n_0/n_c=0.5$, $T_0=1$ keV. The dashed line corresponds to the classical isothermal ponderomotive result, the solid line represents the growth rates calculated from Eqs. (1)–(3) and Eq. (7), the solid circles show the results of Fokker-Planck simulations, and the dotted line is the approximate growth rate given by Eq. (9). The horizontal line represents the homogeneous threshold due to damping as given by Eq. (8).

In a homogeneous plasma the threshold for instability is determined by the damping rates for the daughter waves:

$$\text{Im}(\Omega) > \nu \equiv \sqrt{\nu_{\text{EM}}\nu_{\text{IA}}} \quad (8)$$

where ν_{EM} is the inverse-bremsstrahlung damping rate for the scattered-light wave and ν_{IA} is the damping rate for the ion-acoustic wave. The ion-acoustic

wave damping is primarily electron Landau damping for high- Z plasmas with $ZT_e > T_i$.¹⁵ The inverse-bremsstrahlung damping of the scattered light is given by $\nu_{EM} = n_o \nu_{ei} / 2n_c$, where ν_{ei} is the electron-ion collision frequency.¹⁶

The horizontal line in Fig. 51.7 indicates the threshold for SBS resulting from Eq. (8). Note that the threshold intensity is lowered by nearly two orders of magnitude by thermal effects.

At low intensities, γ_{T2} and γ_p become small enough that the dispersion relation resulting from Eqs. (1)–(3) and Eq. (7) may be considerably simplified. The resulting approximate expression for the growth rate $G = \text{Im}(\Omega)$ is

$$\frac{\Gamma^2}{k^2 c_s^2} = \frac{1}{8} \frac{n_o}{n_c} \frac{c}{c_s} \frac{1}{\sqrt{\epsilon_o}} \left[\gamma_p + \left(\frac{\kappa_o^{\text{Th}}}{\kappa_o^{\text{SH}}} \right)^{-1} \gamma_{T2} \right] \quad (9)$$

and is shown by the dotted line in Fig. 51.7. The first and second terms in brackets in Eq. (9) represent the ponderomotive and thermal contributions to the instability, respectively. For given plasma parameters these terms may be evaluated using Eqs. (5)–(7) and provide a convenient guide to the relative importance of the two driving forces. In general the thermal term becomes more important for larger Z and n_o and for smaller λ_o and T_o .

The effect of nonlocal thermal conduction on SBS in inhomogeneous plasmas remains to be studied. Current laser-fusion experiments involve plasmas that are sufficiently inhomogeneous that density and velocity gradients may be expected to determine the threshold, and the incident laser light contains “hot spots” varying widely in intensity. Consequently it is difficult at present to compare theories of SBS (as well as other parametric instabilities) with existing experimental results. Nevertheless, the previous results clearly show that thermal effects must be taken into consideration in modeling SBS in high- Z plasmas, and as experiments approach the long plasma scale lengths and uniform illumination required for reactor-target implosions accurate modeling of this instability will become increasingly important.

In conclusion, we have studied the impact of recent advances in the understanding of thermal transport on the theory of SBS and in particular have developed the theory of a new form of the instability: thermal SBS. This instability bears the same relation to the familiar ponderomotive SBS as thermal filamentation does to ponderomotive filamentation, and we have shown that it is the dominant form of the instability for high- Z , low-temperature, high-density plasmas.

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